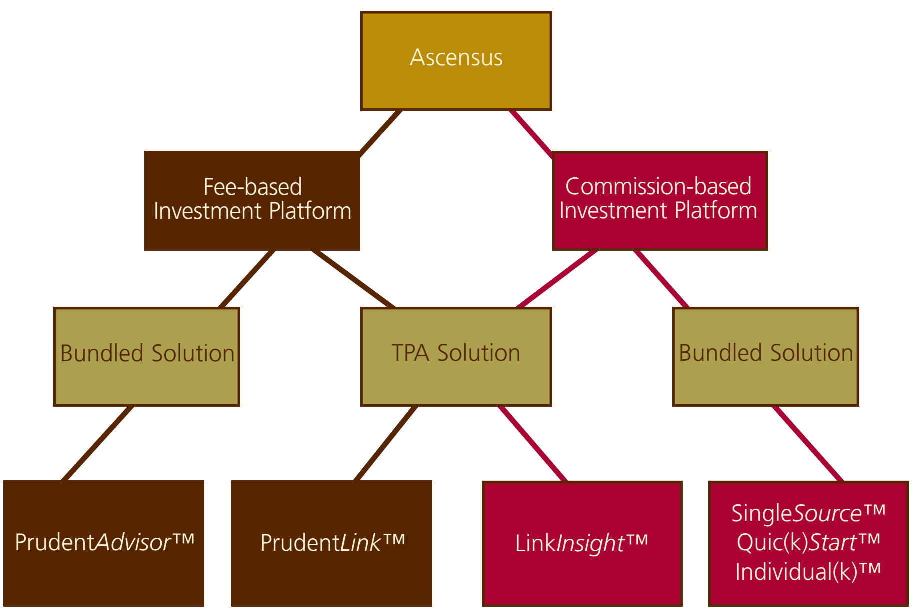
retirement plan solutions

You and your clients are unique…
and so are the solutions we provide.

Team up with Ascensus to provide your clients with high quality retirement programs. With more than 30 years of industry experience, Ascensus stands today as the nation's largest independent recordkeeper and administrator for micro- to large-sized retirement plans and the leading provider of regulatory expertise, plan document services and participant enrollment support. We work closely with advisors, third party administrators and more than 65 investment providers, covering over 2,000 funds, to service 26,000+ defined contribution plans and nearly 1.5 million employees.

With both the structure and the flexibility to provide tailored solutions, our resources complement rather than define how you conduct business. Whether you work independently or in partnership with a third party administrator (TPA); whether you are compensated on a fee or commission basis; whether you are in need of a program for a single owner, a small business or a company of a much larger scale—Ascensus can support your individual clients' needs.



People Matter.

Quality First.

Integrity Always.℠

Give your clients one more reason to turn to you. As the financial services industry continues to consolidate, clients expect more. They are looking for expanded options and a central point of contact for their diverse needs. Ascensus can help you deliver exactly that.

ascensus℠

retirement plan support for the advisor & client

At the core of our service structure is a team approach. Each advisor has a dedicated team to provide regional external and internal support for everything from prospecting new clients through managing your book of business. For your clients, we use the same team-centric philosophy to provide a consultative environment designed to deliver the best possible plan for participants. Each new client relationship begins with a dedicated plan installation team and transitions to a Client Service Team that acts as the "quarterback" for all ongoing plan needs.

For the Advisor	*For the Plan Sponsor*
Dedicated regional sales support	Dedicated installation team
Dedicated home office sales team	Dedicated Client Service Team
Prospecting ideas/leads	ERISA regulatory expertise/plan document services
Open architecture investment platform	Trust services
Plan design analysis (traditional/custom)	Distribution processing
Participant education program	Compliance and tax reporting
Financial advisor website	Online plan administration

participant education

Ascensus has built a customizable education program designed to help employees understand the value of participating in their company's retirement plan and how to make appropriate decisions. Our program can be customized to the unique needs of your clients.

Getting Started	*Staying on Track*	*Monitoring Progress*
(k)ruise*Control*™—our auto enrollment and deferral increase program	Comprehensive online resources	Participant website
Onsite enrollments through Total Benefit Communications (TBC), an Ascensus company	Morningstar® Retirement Manager℠	Interactive Voice Response system/live Participant Service representative
Targeted communications	Account automatic rebalance	Quarterly statement
Customized enrollment book	Model portfolios	Personal rate of return

Our promise to you is top-notch service and the security that comes from working with a partner that always has your best interests in mind. To learn more, our retirement coordinators are available to assist you at ***800-345-6363*** *or* ***retirementsolutions@ascensus.com****.*

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